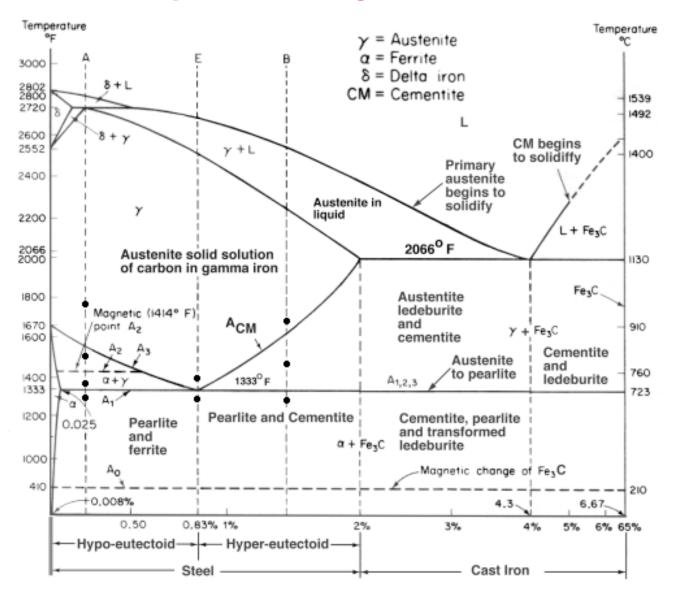
# Is it possible the *ab initio* thermodynamics with defects?

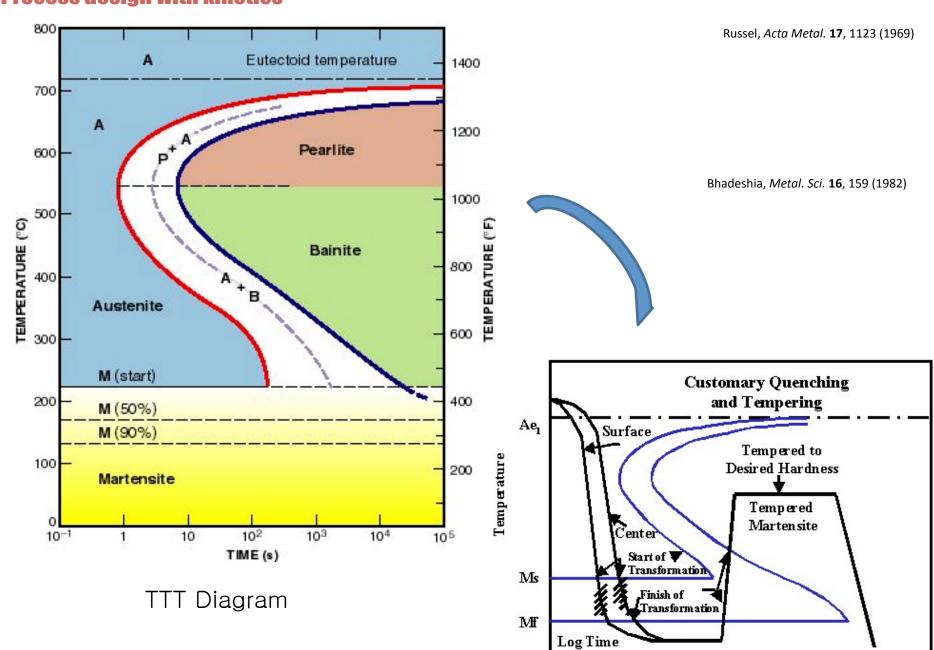
In Gee Kim
Computational Metallurgy Laboratory,
GIFT, POSTECH, Pohang 790-784

# Phase-Diagrams: The first-step of materials design



Fe-Fe<sub>3</sub>C Phase Diagram, *Materials Science and Metallurgy*, 4th ed., (Pollack, Prentice-Hall, 1988)

# **Process design with kinetics**



# Defects generate heat, e.g., Potevine-LeChatelier (PLC) effect

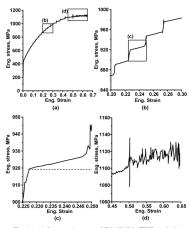
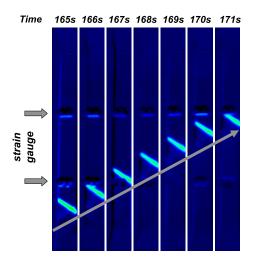


Fig. 1. (a) Stress-strain curve of Fe0.6C18Mn TWIP steel. (b) Enlargement of the first boxed segment of the stress-strain curve exhibiting type A serrations, characterized by a steep rise in stress alternating with plateau-like features. (c) Enlargement of a typical type A serration. The steep rise corresponds to the initiation of a PLC band outside the strain gauge measuring range. The plateau segment corresponds to the passage of the PLC band in the strain gauge measuring range. The increase in stress in the plateau segment, suggests strain hardening in the region outside the PLC band. (d) Enlargement of the type B serrations, characterized by a unstable serration pattern.



Chen et al., ISIJ Int. 47, 1804 (2007)

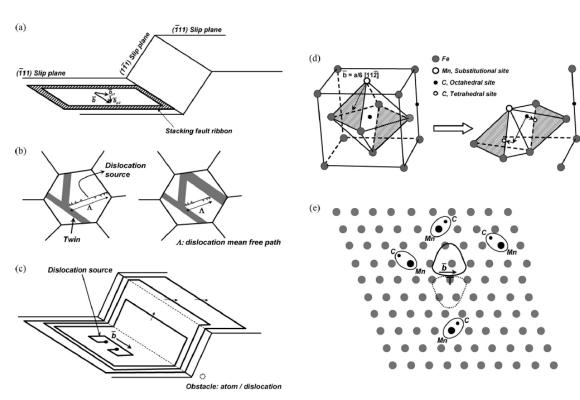
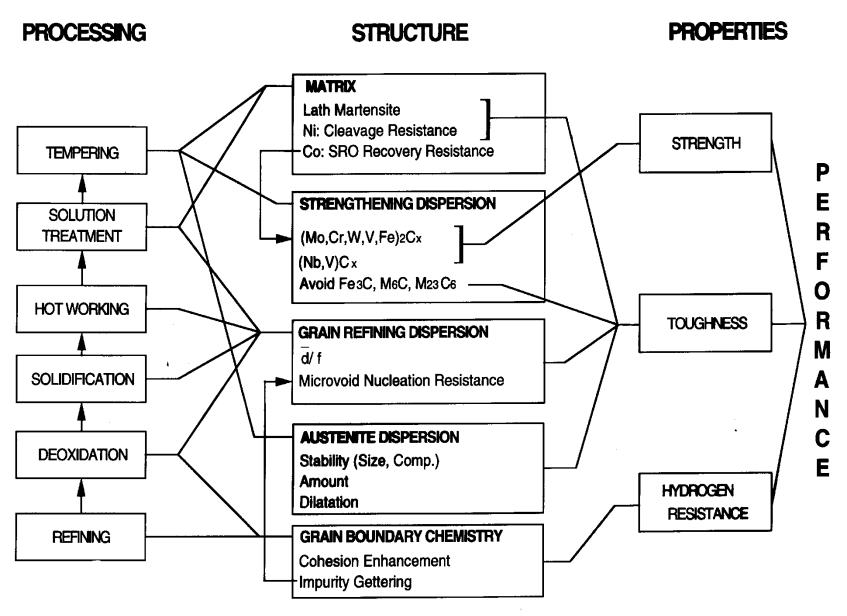
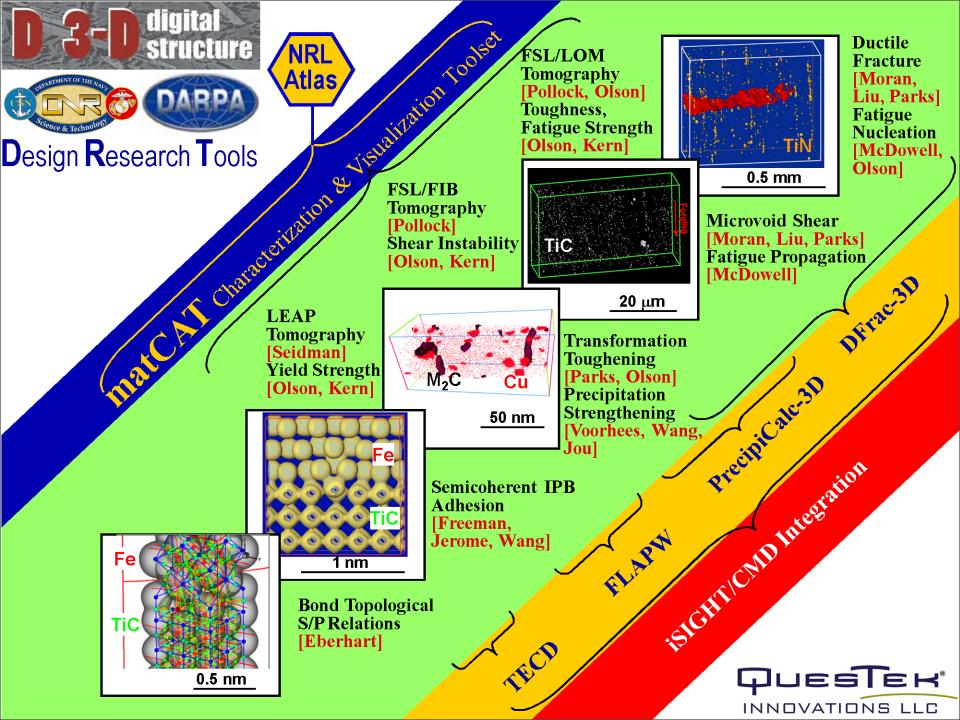


Fig. 8. (a) Low SFE model: a low SFE leads to widely dissociated partial dislocations, which cannot cross slip. This pronounced planar slip results in a high strain hardening rate. (b) Dynamic Hall–Petch model of Bouaziz–Guelton: at low SFE, twinning is promoted. The intersection of twins forms a cell structure. The twin boundaries act as obstacle for dislocation glide. (c) Planar slip model: in concentrated solid solution alloys short range order (SRO) is possible and dislocations will destroy the SRO on their slip plane. Whereas the leading dislocation experiences a high resistance to its motion, subsequent dislocations can glide more easily in the same slip plane. This favors planar slip and result in a high rate of strain hardening. (d) Illustration of the reduction of the SRO during the passage of partial dislocation on their glide plane: the octahedral clusters are sheared and the C atoms are transferred to tetrahedral interstitial sites. Left: before slip. Right: after slip. (e) Dastur–Leslie model: dynamic stain aging in FeMnC alloy is caused by the re-orientation of Mn–C cluster in the dislocation stress field during dislocation motion.

# A material as a system by multiscale simulation



Courtesy by G. B. Olson, Dept. MSE, Northwestern Univ., USA





# **Technology Transfer**

PUESTEH® Navy Alloy Designs

Marine Corps: M67854-05-C-0025



NAVAIR: N68335-07-C-0302 and N68335-08-C-0288



ONR: N00014-08-M-0309



NAVAIR: N68335-05-C-0207 and N68335-07-C-0108



ONR: N00014-07-M-0445 STTR-I

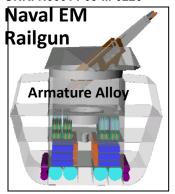


Navy/ONR: N00014-05-C-0241

CVN21

CS130 Deck Steel

ONR: N00014-09-M-0220



ONR: N00014-05-M-0250 NAVAIR: N68335-06-C-0339



NAVAIR: N68335-07-C-0428



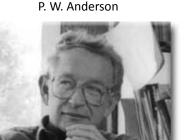
Navy: In-contracting



NAVAIR: N68335-09-C-0215



# Topological defects → The prophecy of P. W. Anderson





"I do **not** accept that this is a philosophical difficulty, or that it demonstrates anything like an impossibility *in principle* of **treating macroscopic objects quantum-mechanically**; merely that this discussion brings out the *practical* problems of determining all the relevant phases in a given system."

In *Basic Notions of Condensed Matter Physics* (Addison Wesley, 1997) p. 51.

Formation of
Topological Defects

Physical Space

Domain Wall

V(Φ)

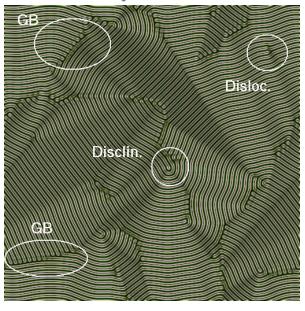
String

Monopole

http://aether.lbl.gov/eunhwa\_webpage\_2/whynot.html

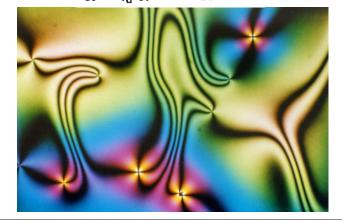
Boyer and Viñals, Phys. Rev. E 65, 046119 (2002)

$$\frac{\partial \psi}{\partial t} = \epsilon \psi - \frac{1}{k_0^4} (k_0^2 + \nabla^2)^2 \psi - \psi^3,$$



Zurek-Kibble domain structure: Phys. Rev. Focus, 12 May 2006

$$\frac{\partial^2 \zeta}{\partial t^2} + \frac{2}{t_0} \frac{\partial \zeta}{\partial t} - \Delta \zeta - \frac{2}{R^2} \zeta = 0,$$



# **Geometric theory of defects**

### Katanaev, Phys. Usp. 48, 675 (2005)

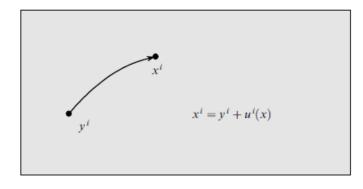
### **Elastic deformation (Euclidean)**



Levi-Civita connection (Christoffel symbols)

torsion nonmetricity

Curvature tensor of the affine connection



The ground undeformed state of the medium

- → The external observer fixes a Cartesian coordinate system (Gauge fixing). The deformed medium,
- → The external observer discovers that the metric becomes nontrivial in this coordinate system.

**Topological defects** 

**Einstein equation for 3D gravity** 

# **Example: Dislocations**

### **Burgers vectors constructed by the Volterra process**

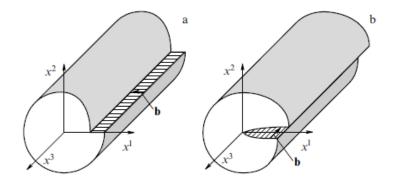


Figure 2. Straight linear dislocations. (a) The edge dislocation. The Burgers vector **b** is perpendicular to the dislocation line. (b) The screw dislocation. The Burgers vector **b** is parallel to the dislocation line.

- Invariant under arbitrary coordinate transformation,
- Covariant under global SO(3) rotations of  $y^i$ .

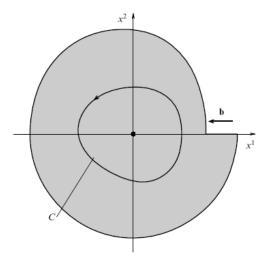
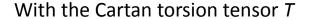


Figure 3. Section of the medium with the edge dislocation. C is the integration contour for the Burgers vector  $\mathbf{b}$ .

### Introduce a vielbein



# Single-particle quantum motion on a Riemann-Cartan manifold

Bausch et al., Phys. Rev. Lett. 80, 2257 (1998)

The tight-binding Hamiltonian

The distortions by defects

The affine connection and the covariant derivative

with the torsion tensor,

The new Hamiltonian with defects for the continuum limit  $a \rightarrow 0$ 

measures the defect density.

# Aharonov-Bohm effect of the spin wave by a screw dislocation

We shall now present the solution of equation (10) for a single screw dislocation along the z axis with the Burgers vector  $b = be_z$ . The only nonvanishing components of the Kröner distortions  $\beta_i^i$  are then  $\beta_i^3$ , i = 1, 2:

$$\beta_1^3 = -\frac{b}{2\pi} \partial_2 \ln \sqrt{(x^1)^2 + (x^2)^2}$$

$$\beta_2^3 = \frac{b}{2\pi} \partial_1 \ln \sqrt{(x^1)^2 + (x^2)^2}.$$
(11)

Assuming in the cylindrical coordinates  $\Psi(r, \phi, z, t) = \chi(r, \phi) \exp(ikz + i\omega t)$  we found that the envelope  $\chi(r, \phi)$  obeys the Aharonov–Bohm equation [18]:

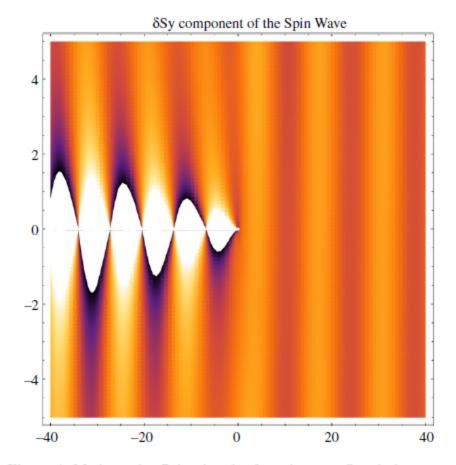
$$\left[\frac{\partial^2}{\partial r^2} + \frac{1}{r}\frac{\partial}{\partial r} + \frac{1}{r^2}\left(\frac{\partial}{\partial \phi} + \iota\alpha\right)^2 - q^2\right]\chi(r,\phi) = 0, \quad (12)$$

where  $\alpha = kb/2\pi$  and  $q^2 = k^2 + 2\mu\omega$ . Using the asymptotic  $r \to \infty$  solution for that equation [12, 18–20] and recalling the definition of the function  $\Psi$  we found

$$\begin{pmatrix}
\delta S_x \\
\delta S_y
\end{pmatrix} = S_0 \begin{pmatrix}
\cos \\
-\sin \end{pmatrix} (\alpha \phi + qr \cos \phi) 
+ \frac{\sin(\pi \alpha)}{\cos(\phi/2)\sqrt{2\pi qr}} 
\times \begin{pmatrix}
\cos \\
\sin \end{pmatrix} (qr + \alpha(\phi - \pi)/2|\alpha| - \pi/4).$$
(13)

In the absence of the dislocation the (pseudo)flux  $\alpha = 0$  and we recover the standard spin wave solution of equation (1). The first term on the rhs of equation (13) shows the helical structure of the incoming spin wave due to global distortion of the lattice and the second describes the scattering phase shift due to the presence of dislocation. In figure 1 we have shown a Mathematica 7 generated density plot for the  $\delta S_y$  component of the solution (13). The  $\delta S_x$  component exhibits an identical structure with a trivial phase shift found from (13).

Turski and Mińkowski, J. Phys. C: Condens. Matter 21, 376001 (2009)



**Figure 1.** Mathematica 7 density plot for spin wave  $S_y$  solution equation (13). The wave is approaching from the right and deflects from the screw dislocation line located at the origin and perpendicular to the plot surface. The wavy edges of the cut to the left from the dislocations show the Aharonov–Bohm-like oscillations determined by the (pseudo)flux  $\alpha = 0.4$ .

### The dislocation density tensor and the dislocation current tensor

Satisfy the translational Bianchi identities,

The Lagrangian density

### The equations of motion

By adding null Lagrangian,

satisfying

momentum balance of dislocations

stress balance of dislocations

force balance of elasticity

### Usual solution: massive fields Klein-Gordon equation

With (1+2)-dimensional d'Alembert operator,

# Thermodynamics on flat space

### Matsubara-Green-Kubo formalism

Grand canonical partition function, with

Statistical density matrix, follows the **Bloch equation** 

By introducing the imaginary-time, the temperature Green's function

satisfies the Schwinger-Dyson equation as

with the noninteracting Green's function

In terms of the spectral function, the Lehmann representation of the Green's function is



The corresponding real time Green's function is

# Thermodynamics of black holes

### Hartle-Hawking-Gibbons-Perry formalism

An action functional

The amplitude

The propagator

Introducing the negative imaginary parametric time

then

satisfies

where



S: the square of the Minkowski interval

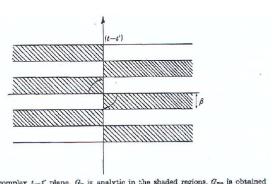


FIGURE 3. The complex t-t' plane,  $G_T$  is analytic in the shaded regions,  $G_{27}$  is obtained by analytically continuing along the dotted paths. It is a periodic function of period  $\beta = 1/T$ .

Gibbons and Perry, Proc. R. Soc. Lond. A 358, 467 (1978)

Hartle and Hawking, Phys. Rev. D 13, 2188 (1976)

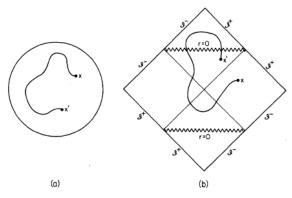


FIG. 2. (a) A compactified representation of a constant  $\theta$ , constant  $\varphi$  slice of the positive-definite spacetime whose metric is given in Eq. (2.6). The heavy circle represents infinity. There are no singularities. A typical path connecting two points x' and x is shown. (b) A Penrose diagram for the Schwarzschild geometry showing in addition the regions of negative mass (or  $r \leq 0$ ) above and below the singularities. A typical member of the class of paths continued analytically to this real section from the positive-definite spacetime represented in (a) is shown. Such paths may cross and recross the singularities at r = 0. Integrations over paths which cross the singularities are specified by choosing contours of integration which are the analytic continuations of those in the positive-definite section.

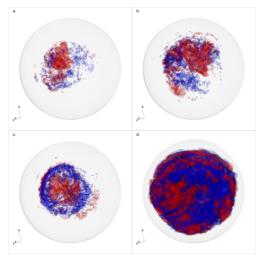
For the metric, with the Klein-Gordon Lagrangian density

the diffusion equation,  $\label{eq:partition} \mbox{ of the normal mode } \psi \mbox{ yields the partition function}$ 

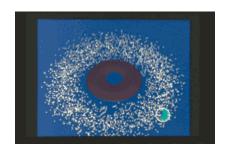
for small  $\beta$ .

### **Conclusion**

- A fully quantum field theoretic formalism is available for thermodynamics with defects.
- This approach promises the authentic ab initio thermodynamics by eliminating ad hoc ones.
- Computational Practicality? See, below simulations by serious scientists.

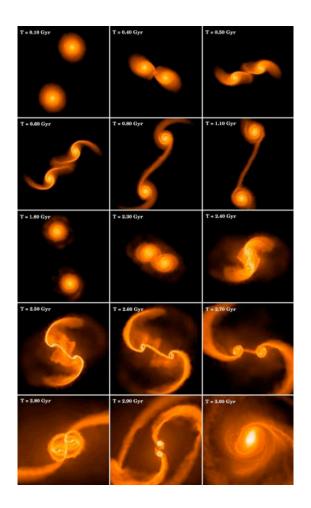


The radial velocity of the convection of a full white dwarf. Appeared in *Astrophysical Journal* **704**, 196 (2009).





Matter collapse into a donut black hole. Appeared in *Science* **275**, 476 (1997).



Two colliding galaxies. By S. Kazantzidis, University of Chicago